

Rufus Bowen Notebook
<https://bowen.pims.math.ca/>

Rufus's Legacy

- 40 papers and 2 books in dynamics over only 10 years
- 2800 citations in MathSciNet; most are very recent.
- Bowen-Franks group, Bowen-Margulis measure, Bowen-Series maps, Sinai-Ruelle-Bowen (SRB) measure, Bowen's formula

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Fundamental contributions

- **Markov partitions and symbolic dynamics**
- Topological entropy
- Entropy, periodic orbits, and equidistribution
- Thermodynamic Formalism (pressure, equilibrium states and Gibbs states)
- Interplay between ergodic theory and smooth structure
- Hyperbolic systems and topology
- Pressure and dimension

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Rufus's Mathematical Descendants

4 PhD students and 24 PhD grand-students

Name	Year of PhD	University
Franco-Sanchez, Ernesto	1974	University of California, Berkeley
Marcus, Brian	1975	University of California, Berkeley
Wong, Sherman	1977	University of California, Berkeley
Young, Lai-Sang	1978	University of California, Berkeley
Kitchens, Bruce	1981	University of North Carolina at Chapel Hill
Branton, Michael	1982	University of North Carolina at Chapel Hill
Trow, Paul	1985	University of North Carolina at Chapel Hill
Ashley, Jonathan	1987	University of California, Santa Cruz
Fahlberg-Stojanovska, Linda	1989	University of Arizona
Hu, Huyi	1993	University of Arizona
Liu, Zheng	1993	University of Arizona
Cleveland, Christopher	1999	University of California, Los Angeles

Name	Year of PhD	University
Cowieson, William	1999	University of California, Los Angeles
Chaichanavong, Panu	2003	Stanford University
Demers, Mark	2003	New York University
Poo, Tze-Lei	2005	Stanford University
Kobre, Elisha	2005	New York University
Wright, Paul	2007	New York University
Koiller, José	2008	New York University
Mintchev, Stanislav	2008	New York University
Yarmola, Tatiana	2008	New York University
Louidor, Erez	2010	University of British Columbia
Ryals, Brian	2013	New York University
Chandgotia, Nishant	2015	University of British Columbia
Garcia-Ramos, Felipe	2015	University of British Columbia
Briceno, Raimundo	2016	University of British Columbia
Blumenthal, Alex	2016	New York University
Chariker, Christopher	2016	New York University

- **Historical record**
- Window into Rufus's thinking – he anticipated many developments in the past 40 years.
- Short problem statements.
- Much progress made on many problems.
- Bibliographies in PIMS/Fields/NSF proposal and Rufus's Notebook are almost disjoint.

The Notebook

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Pre-history of the Notebook

Fall, 1973: Open Problem List, Berkeley Math course

Some Problems

- For some r is the set of continuity points of $h: \text{Diff}^r(M) \rightarrow \mathbb{R}$ residual? \uparrow
- Does $h(f) = 0$ for f a flow on a 2-manifold? (This is an open to $h(f) = 0$ for f a homeo. of $S^1 \times D^1$)
- If $f \in \text{Diff}^1(M)$ and λ is an eigenvalue for some $f_{x,t}: T_x(M) \rightarrow T_x(M)$, is $\log|\lambda| \leq h(f)$?
See Exercise 44-46 old also note this is true for autom. of T^1 . Cases to try are
(a) $S^1 \times S^1$ (use Kfko)
(b) T^2 (use $S^1 \times S^1$ degree 2 diff map (not affine))
(c) T^2 Anom A. (Remember if $\dim M = 2$ or if the eigenvalues of f_t don't cancel in $h(f)$.)

- If $f: M \rightarrow M$ Anom and $g \circ f$, does $h(g) \geq h(f)$? This is true for all known Anom diff. (see J.F. Kennedy paper in [2]). For every isotopy class are there diff. which minimize entropy?
5. If f is Anom A, is there an g of rank ≤ 1 with $h(g) = 0$ and $h(f) > h(g)$? This is known for any f if one drops the condition " $h(f) = h(g)$ ".

6. Classify basis sets. Here is a possible program.
For any two $n \times n$ matrices A and B , one can define the relation (assume $R_{ii} = 1$ and $R_{ij} = R_{ji}$) \sim on $\mathcal{L}(A)$ by
 $A \sim B$ iff $R_{ij} = 1 \forall i, j$.

Call (A, R) permissible if \sim is an equiv. relation on $\mathcal{L}(A)$. Then $\mathcal{Q}(A, R) = \mathcal{L}(A)/\sim$ is a top. space and \sim induces a homeo σ on $\mathcal{Q}(A, R)$. Math problem for a basis σ gives us (A, R) with σ compact to σ on $\mathcal{Q}(A, R)$. Hence

(a) find nec. & suff. condition on (A, R)

- (b) Is σ (permissible) is σ or $\mathcal{Q}(A, R)$ compact to about topological set.
(c) Find conditions on (A, R) (B, S) which are permissible & equivalent to $\sigma(B, S)$ compact to σ on $\mathcal{Q}(B, S)$.
(d) Find the invariants of σ in terms of (A, R) , e.g. dimension, Czech cohomology, etc.
(e) For any class of σ where (d) works (e.g. dim ≤ 1 ?) say more (about σ for instance).

- Which manifolds admit Anom diffeomorphisms? If it is compact they are all infinitely manifoldly (see Franks [2]). It is known (A. Manning) that all the Anom diff. on these manifolds are conjugate to algebraically constructed ones. Can you say anything about $h(f): T_x(M)$ for an Anom diff?
8. For some meaningful notion of conjugacy (between maps-theoretic & topological) show that two mixing subshifts of finite-type are conjugate iff they have the same entropy.
9. If $\sigma_x(M) = 0$ (or has polynomial growth) does M admit a Riem. metric whose geodesic flow has entropy 0.
5. look for an attractor in [48].

- Let $f: X \rightarrow X$ be an expansive homeo. Must X be finite dim? If f is minimal and $\dim X = 0$?
- Suppose $f: X \rightarrow X$ is expansive. If f satisfies specification, is f the quotient of a subshift of finite type? Classify all subshifts satisfying specification. Do you have (but not possible) notion of f satisfying specification?
3. Decide about the entropy function of an Anom flow.
4. Investigate the central limit theorem.

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See Exercise 44-46 old also note this is true for autom. of T^1 . Cases to try are:
(a) Ω^2 limits (see Kfko)
(b) $f: S^2 \rightarrow S^2$ degree 2 diff map (not a flow)
(c) f Anosov A. (Remember here if $\dim M = 0$ or if the eigenvalues of f_t don't cancel in $h(f)$.)

- If $f: M \rightarrow M$ Anosov and $g \circ f$, does $h(g) > h(f)$? This is true for all known Anosov diffeos (see J.F. Kennedy paper in [2]). For every entropy class are there diffeos. which minimize entropy?
5. If f is Anosov A, is there an g of near f with $\dim \Omega(g) = 0$ and $h(g) > h(f)$? This is known for any f if one drops the condition " $h(f) = h(g)$ ".

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For any two $n \times n$ matrices A and B of \mathbb{C} and \mathbb{R} , A and B , one can define the relation (assume $R_{ii} = 1$ and $R_{ij} = R_{ji}$) \sim on $\Sigma(A, B)$ by
$$x \sim y \text{ iff } R_{ij} = 1 \forall i, j.$$

Call (A, B) permissible if \sim is an equiv. relation on $\Sigma(A, B)$. Then $\mathcal{Q}(A, B) = \Sigma(A, B) / \sim$ is a top. space and \sim induces a homeo σ on $\mathcal{Q}(A, B)$. Ask how to find σ for a basis σ of $\mathcal{Q}(A, B)$ with σ compact to σ on $\mathcal{Q}(A, B)$. Hence

(a) find necessary condition on (A, B)

(b) Is $\mathcal{Q}(A, B)$ permissible is σ or $\mathcal{Q}(A, B)$ compact to about topological set.

(c) Find conditions on (A, B) (B, S) which are permissible σ equivalent to σ on $\mathcal{Q}(A, B)$ compact to σ on $\mathcal{Q}(B, S)$.

(d) Find σ invariant of σ on $\mathcal{Q}(A, B)$ in terms of (A, B) , e.g. dimension, cohomology, etc.

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Parallel Problem Sessions

- Tuesday and Thursday afternoons, 4:30-5:30, in Scarfe Building
- Moderated, informal, organic discussions of groups of Notebook problems or problems broadly related to Rufus's work
- Some participants have volunteered to pose/discuss problems.
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Parallel sessions, broadly defined

Will post more detail by Tuesday morning.

- Homogeneous Dynamics (J. Athreya, S. Lim); including algebraic dynamics, Teichmuller theory, foliation ergodic theory
- Hyperbolic Dynamics (Y. Coudenes, S. Crovisier, T. Fisher) including “beyond hyperbolicity”, smooth dynamics, interplay between smooth and ergodic
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- Better understand how Notebook problems and Rufus's work fit into today's dynamics landscape, e.g., by writing essays
- Other Ideas ?

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