#### Non-uniform specification properties

#### Ronnie Pavlov

University of Denver www.math.du.edu/~rpavlov

Current Trends in Dynamical Systems & the Mathematical Legacy of Rufus Bowen August 4, 2017

< □ > < □ > < □ > < □ > < □ > < □ > = □

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへで

Bowen's theorem

- Bowen's theorem
  - Uniform hypotheses

- Bowen's theorem
  - Uniform hypotheses
- Generalizing Bowen's theorem

- Bowen's theorem
  - Uniform hypotheses
- Generalizing Bowen's theorem
  - Non-uniform hypotheses

- Bowen's theorem
  - Uniform hypotheses
- Generalizing Bowen's theorem
  - Non-uniform hypotheses
- Applications

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

- Bowen's theorem
  - Uniform hypotheses
- Generalizing Bowen's theorem
  - Non-uniform hypotheses
- Applications
- Some ideas from the proof

• Setting: (X, T) an **expansive** (invertible) dynamical system

Setting: (X, T) an expansive (invertible) dynamical system
 ∃δ > 0 s.t. x ≠ y ⇒ d(T<sup>n</sup>x, T<sup>n</sup>y) > δ for some n ∈ Z

◆□▶ ◆□▶ ◆三▶ ◆三▶ ○○ のへで

• Setting: (X, T) an **expansive** (invertible) dynamical system

•  $\exists \delta > 0 \text{ s.t. } x \neq y \Longrightarrow d(T^n x, T^n y) > \delta \text{ for some } n \in \mathbb{Z}$ 

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

• ( $\delta$  always refers to this)

• Setting: (X, T) an **expansive** (invertible) dynamical system

- $\exists \delta > 0 \text{ s.t. } x \neq y \Longrightarrow d(T^n x, T^n y) > \delta \text{ for some } n \in \mathbb{Z}$
- ( $\delta$  always refers to this)
- Standard example:  $X \subset A^{\mathbb{Z}}$  a **subshift**, T the shift map

• Setting: (X, T) an **expansive** (invertible) dynamical system

- $\exists \delta > 0 \text{ s.t. } x \neq y \Longrightarrow d(T^n x, T^n y) > \delta \text{ for some } n \in \mathbb{Z}$
- ( $\delta$  always refers to this)
- Standard example:  $X \subset A^{\mathbb{Z}}$  a **subshift**, T the shift map
- Subshifts always expansive:

 $x \neq y \Rightarrow \exists n \text{ s.t. } x(n) \neq y(n) \Rightarrow (T^n x)(0) \neq (T^n y)(0)$ 

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

• Setting: (X, T) an **expansive** (invertible) dynamical system

- $\exists \delta > 0 \text{ s.t. } x \neq y \Longrightarrow d(T^n x, T^n y) > \delta \text{ for some } n \in \mathbb{Z}$
- ( $\delta$  always refers to this)
- Standard example:  $X \subset A^{\mathbb{Z}}$  a **subshift**, T the shift map
- Subshifts always expansive:

 $x \neq y \Rightarrow \exists n \text{ s.t. } x(n) \neq y(n) \Rightarrow (T^n x)(0) \neq (T^n y)(0)$ 

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

•  $\phi: X \to \mathbb{R}$  is a continuous fcn. called a **potential** 

• Setting: (X, T) an **expansive** (invertible) dynamical system

- $\exists \delta > 0 \text{ s.t. } x \neq y \Longrightarrow d(T^n x, T^n y) > \delta \text{ for some } n \in \mathbb{Z}$
- ( $\delta$  <u>always</u> refers to this)
- Standard example:  $X \subset A^{\mathbb{Z}}$  a **subshift**, T the shift map
- Subshifts always expansive:

 $x \neq y \Rightarrow \exists n \text{ s.t. } x(n) \neq y(n) \Rightarrow (T^n x)(0) \neq (T^n y)(0)$ 

- $\phi: X \to \mathbb{R}$  is a continuous fcn. called a **potential**
- The topological pressure of  $(X, T, \phi)$  is

• Setting: (X, T) an **expansive** (invertible) dynamical system

- $\exists \delta > 0 \text{ s.t. } x \neq y \Longrightarrow d(T^n x, T^n y) > \delta \text{ for some } n \in \mathbb{Z}$
- ( $\delta$  <u>always</u> refers to this)
- Standard example:  $X \subset A^{\mathbb{Z}}$  a **subshift**, T the shift map
- Subshifts always expansive:

 $x \neq y \Rightarrow \exists n \text{ s.t. } x(n) \neq y(n) \Rightarrow (T^n x)(0) \neq (T^n y)(0)$ 

- $\phi: X \to \mathbb{R}$  is a continuous fcn. called a **potential**
- The topological pressure of  $(X, T, \phi)$  is

$$P(X, T, \phi) = \sup_{\mu} \left( h(\mu) + \int \phi \ d\mu \right)$$

• Setting: (X, T) an **expansive** (invertible) dynamical system

- $\exists \delta > 0 \text{ s.t. } x \neq y \Longrightarrow d(T^n x, T^n y) > \delta \text{ for some } n \in \mathbb{Z}$
- ( $\delta$  <u>always</u> refers to this)
- Standard example:  $X \subset A^{\mathbb{Z}}$  a **subshift**, T the shift map
- Subshifts always expansive:

 $x \neq y \Rightarrow \exists n \text{ s.t. } x(n) \neq y(n) \Rightarrow (T^n x)(0) \neq (T^n y)(0)$ 

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

- $\phi: X \to \mathbb{R}$  is a continuous fcn. called a **potential**
- The topological pressure of  $(X, T, \phi)$  is

$$P(X, T, \phi) = \sup_{\mu} \left( h(\mu) + \int \phi \ d\mu 
ight)$$

• For expansive (X, T), the supremum is achieved

• Setting: (X, T) an **expansive** (invertible) dynamical system

- $\exists \delta > 0 \text{ s.t. } x \neq y \Longrightarrow d(T^n x, T^n y) > \delta \text{ for some } n \in \mathbb{Z}$
- ( $\delta$  <u>always</u> refers to this)
- Standard example:  $X \subset A^{\mathbb{Z}}$  a **subshift**, T the shift map
- Subshifts always expansive:

 $x \neq y \Rightarrow \exists n \text{ s.t. } x(n) \neq y(n) \Rightarrow (T^n x)(0) \neq (T^n y)(0)$ 

- $\phi: X \to \mathbb{R}$  is a continuous fcn. called a **potential**
- The topological pressure of  $(X, T, \phi)$  is

$$P(X, T, \phi) = \sup_{\mu} \left( h(\mu) + \int \phi \ d\mu \right)$$

- For expansive (X, T), the supremum is achieved
- $\mu$  achieving sup are called **equilibrium states** for  $(X, T, \phi)$

• Informally,  $\phi$  gives "incentive" to various parts of X; an equilibrium state  $\mu$  balances that incentive against the incentive of maximizing entropy

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

 Informally, φ gives "incentive" to various parts of X; an equilibrium state μ balances that incentive against the incentive of maximizing entropy

• Example: 
$$X = \{0, 1\}^{\mathbb{Z}}$$
, T is the shift,  $\phi = \begin{cases} 0 & \text{if } x(0) = 0 \\ 1 & \text{if } x(0) = 1 \end{cases}$ 

 Informally, φ gives "incentive" to various parts of X; an equilibrium state μ balances that incentive against the incentive of maximizing entropy

• **Example:** 
$$X = \{0, 1\}^{\mathbb{Z}}$$
, *T* is the shift,  $\phi = \begin{cases} 0 \text{ if } x(0) = 0 \\ 1 \text{ if } x(0) = 1 \end{cases}$ 

• Unique equilibrium state  $\mu$  is the  $\left(\frac{1}{3},\frac{2}{3}\right)$ -Bernoulli measure; "1 is twice as good as 0" due to influence of  $\phi$ 

 Informally, φ gives "incentive" to various parts of X; an equilibrium state μ balances that incentive against the incentive of maximizing entropy

• **Example:** 
$$X = \{0, 1\}^{\mathbb{Z}}$$
,  $T$  is the shift,  $\phi = \begin{cases} 0 \text{ if } x(0) = 0 \\ 1 \text{ if } x(0) = 1 \end{cases}$ 

• Unique equilibrium state  $\mu$  is the  $\left(\frac{1}{3},\frac{2}{3}\right)$ -Bernoulli measure; "1 is twice as good as 0" due to influence of  $\phi$ 

◆□▶ ◆□▶ ◆注▶ ◆注▶ 注 のへで

• **Example 2:**  $X = \{A, B\}$ , T is the identity

 Informally, φ gives "incentive" to various parts of X; an equilibrium state μ balances that incentive against the incentive of maximizing entropy

• **Example:** 
$$X = \{0, 1\}^{\mathbb{Z}}$$
,  $T$  is the shift,  $\phi = \begin{cases} 0 \text{ if } x(0) = 0 \\ 1 \text{ if } x(0) = 1 \end{cases}$ 

- Unique equilibrium state  $\mu$  is the  $\left(\frac{1}{3},\frac{2}{3}\right)$ -Bernoulli measure; "1 is twice as good as 0" due to influence of  $\phi$
- **Example 2:**  $X = \{A, B\}$ , T is the identity
  - h(μ) always 0, so equilibrium state is δ<sub>A</sub> or δ<sub>B</sub> depending on whether φ(A) or φ(B) is bigger

 Informally, φ gives "incentive" to various parts of X; an equilibrium state μ balances that incentive against the incentive of maximizing entropy

• **Example:** 
$$X = \{0, 1\}^{\mathbb{Z}}$$
,  $T$  is the shift,  $\phi = \begin{cases} 0 \text{ if } x(0) = 0 \\ 1 \text{ if } x(0) = 1 \end{cases}$ 

- Unique equilibrium state  $\mu$  is the  $\left(\frac{1}{3},\frac{2}{3}\right)$ -Bernoulli measure; "1 is twice as good as 0" due to influence of  $\phi$
- **Example 2:**  $X = \{A, B\}$ , T is the identity
  - h(μ) always 0, so equilibrium state is δ<sub>A</sub> or δ<sub>B</sub> depending on whether φ(A) or φ(B) is bigger
  - If  $\phi(A) = \phi(B)$ , <u>all</u> invariant measures are equilibrium states

• Question: Given  $(X, T, \phi)$ , when is equilibrium state unique?

- Question: Given  $(X, T, \phi)$ , when is equilibrium state unique?
- Unique equilibrium states often important objects of study, e.g. SRB measures in smooth dynamics or Gibbs measures in statistical physics

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

- Question: Given  $(X, T, \phi)$ , when is equilibrium state unique?
- Unique equilibrium states often important objects of study, e.g. SRB measures in smooth dynamics or Gibbs measures in statistical physics

◆□▶ ◆□▶ ◆注▶ ◆注▶ 注 のへで

• Common hypotheses are mixing property on (X, T) and regularity property on  $\phi$ 

- Question: Given  $(X, T, \phi)$ , when is equilibrium state unique?
- Unique equilibrium states often important objects of study, e.g. SRB measures in smooth dynamics or Gibbs measures in statistical physics
- Common hypotheses are mixing property on (X, T) and regularity property on  $\phi$
- **Mixing:** Given two parts of the space, *T* eventually sends some point of the first to the second

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

- Question: Given  $(X, T, \phi)$ , when is equilibrium state unique?
- Unique equilibrium states often important objects of study, e.g. SRB measures in smooth dynamics or Gibbs measures in statistical physics
- Common hypotheses are mixing property on (X, T) and regularity property on φ
- **Mixing:** Given two parts of the space, *T* eventually sends some point of the first to the second
  - Given x, y, can find z whose orbit stays close to x for a long time, and then later stays close to y for a long time

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

- Question: Given  $(X, T, \phi)$ , when is equilibrium state unique?
- Unique equilibrium states often important objects of study, e.g. SRB measures in smooth dynamics or Gibbs measures in statistical physics
- Common hypotheses are mixing property on (X, T) and regularity property on  $\phi$
- **Mixing:** Given two parts of the space, *T* eventually sends some point of the first to the second
  - Given x, y, can find z whose orbit stays close to x for a long time, and then later stays close to y for a long time

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

• **Regularity:** Control on  $|\phi(x) - \phi(y)|$  in terms of d(x, y)

- Question: Given  $(X, T, \phi)$ , when is equilibrium state unique?
- Unique equilibrium states often important objects of study, e.g. SRB measures in smooth dynamics or Gibbs measures in statistical physics
- Common hypotheses are mixing property on (X, T) and regularity property on φ
- **Mixing:** Given two parts of the space, *T* eventually sends some point of the first to the second
  - Given x, y, can find z whose orbit stays close to x for a long time, and then later stays close to y for a long time
- **Regularity:** Control on  $|\phi(x) \phi(y)|$  in terms of d(x, y)
  - If x, y close for a long time, then  $\phi(x)$  and  $\phi(y)$  are very close

• Rufus Bowen proved a wonderful result in this area, using the following hypotheses.

- Rufus Bowen proved a wonderful result in this area, using the following hypotheses.
  - Mixing: (X, T) satisfies (weak) specification if for all  $\epsilon > 0$ , there exists C so that for every k, every  $x_1, \ldots, x_k \in X$ , and every  $n_1, \ldots, n_k$  and gaps  $m_1, \ldots, m_{k-1} \ge C$ , there is a point  $z \in X$  whose orbit stays  $\epsilon$ -close to the orbit of  $x_1$  for  $n_1$ iterates, then is uncontrolled for  $m_1$  iterates, then stays  $\epsilon$ -close to the orbit of  $x_2$  for  $n_2$  iterates, ...

- Rufus Bowen proved a wonderful result in this area, using the following hypotheses.
  - Mixing: (X, T) satisfies (weak) specification if for all  $\epsilon > 0$ , there exists C so that for every k, every  $x_1, \ldots, x_k \in X$ , and every  $n_1, \ldots, n_k$  and gaps  $m_1, \ldots, m_{k-1} \ge C$ , there is a point  $z \in X$  whose orbit stays  $\epsilon$ -close to the orbit of  $x_1$  for  $n_1$ iterates, then is uncontrolled for  $m_1$  iterates, then stays  $\epsilon$ -close to the orbit of  $x_2$  for  $n_2$  iterates, ...
  - <u>Regularity</u>: φ is a Bowen potential if there exist ε > 0 and C so that for every n and every pair x, y with d(T<sup>i</sup>x, T<sup>i</sup>y) < ε for 0 ≤ i < n,</li>

- Rufus Bowen proved a wonderful result in this area, using the following hypotheses.
  - Mixing: (X, T) satisfies (weak) specification if for all  $\epsilon > 0$ , there exists C so that for every k, every  $x_1, \ldots, x_k \in X$ , and every  $n_1, \ldots, n_k$  and gaps  $m_1, \ldots, m_{k-1} \ge C$ , there is a point  $z \in X$  whose orbit stays  $\epsilon$ -close to the orbit of  $x_1$  for  $n_1$ iterates, then is uncontrolled for  $m_1$  iterates, then stays  $\epsilon$ -close to the orbit of  $x_2$  for  $n_2$  iterates, ...
  - <u>Regularity</u>: φ is a Bowen potential if there exist ε > 0 and C so that for every n and every pair x, y with d(T<sup>i</sup>x, T<sup>i</sup>y) < ε for 0 ≤ i < n,</li>

$$|S_n\phi(x)-S_n\phi(y)|=\left|\sum_{i=0}^{n-1}\phi(T^ix)-\sum_{i=0}^{n-1}\phi(T^iy)\right|< C.$$

• Theorem: (Bowen, 1975) If (X, T) is expansive and has specification, and  $\phi$  is a Bowen potential, then  $(X, T, \phi)$  has a unique equilibrium state, which is fully supported.
- **Theorem:** (Bowen, 1975) If (X, T) is expansive and has specification, and  $\phi$  is a Bowen potential, then  $(X, T, \phi)$  has a unique equilibrium state, which is fully supported.
  - Mixing shifts of finite type and hyperbolic toral automorphisms are expansive systems with specification

- **Theorem:** (Bowen, 1975) If (X, T) is expansive and has specification, and  $\phi$  is a Bowen potential, then  $(X, T, \phi)$  has a unique equilibrium state, which is fully supported.
  - Mixing shifts of finite type and hyperbolic toral automorphisms are expansive systems with specification

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

• Define  $V_n = V_n(X, T, \phi) = \sup\{|\phi(x) - \phi(y)|\}$  over pairs (x, y) where  $d(T^i x, T^i y) < \delta$  for all  $|i| \le n$ 

- **Theorem:** (Bowen, 1975) If (X, T) is expansive and has specification, and  $\phi$  is a Bowen potential, then  $(X, T, \phi)$  has a unique equilibrium state, which is fully supported.
  - Mixing shifts of finite type and hyperbolic toral automorphisms are expansive systems with specification

- Define  $V_n = V_n(X, T, \phi) = \sup\{|\phi(x) \phi(y)|\}$  over pairs (x, y) where  $d(T^i x, T^i y) < \delta$  for all  $|i| \le n$
- $\phi$  continuous, so  $V_n \rightarrow 0$ , but rate could be slow

- **Theorem:** (Bowen, 1975) If (X, T) is expansive and has specification, and  $\phi$  is a Bowen potential, then  $(X, T, \phi)$  has a unique equilibrium state, which is fully supported.
  - Mixing shifts of finite type and hyperbolic toral automorphisms are expansive systems with specification

- Define  $V_n = V_n(X, T, \phi) = \sup\{|\phi(x) \phi(y)|\}$  over pairs (x, y) where  $d(T^i x, T^i y) < \delta$  for all  $|i| \le n$
- $\phi$  continuous, so  $V_n \rightarrow {\rm 0}, \; {\rm but} \; {\rm rate} \; {\rm could} \; {\rm be} \; {\rm slow}$
- $\phi$  is Bowen whenever  $\sum V_n < \infty$

- **Theorem:** (Bowen, 1975) If (X, T) is expansive and has specification, and  $\phi$  is a Bowen potential, then  $(X, T, \phi)$  has a unique equilibrium state, which is fully supported.
  - Mixing shifts of finite type and hyperbolic toral automorphisms are expansive systems with specification

- Define  $V_n = V_n(X, T, \phi) = \sup\{|\phi(x) \phi(y)|\}$  over pairs (x, y) where  $d(T^i x, T^i y) < \delta$  for all  $|i| \le n$
- $\phi$  continuous, so  $V_n \rightarrow {\rm 0}, \; {\rm but} \; {\rm rate} \; {\rm could} \; {\rm be} \; {\rm slow}$
- $\phi$  is Bowen whenever  $\sum V_n < \infty$
- Corollary: all Hölder potentials are Bowen for subshifts

• Both specification and the Bowen property are very strong; certain quantities are uniformly bounded in *n* 

- Both specification and the Bowen property are very strong; certain quantities are uniformly bounded in *n* 
  - Specification: the required gap to interpolate between length-*n* orbit segments

- Both specification and the Bowen property are very strong; certain quantities are uniformly bounded in *n* 
  - Specification: the required gap to interpolate between length-*n* orbit segments

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

• Bowen property: variation of partial sums  $S_n\phi$  over (x, y) which stay close for *n* iterates

- Both specification and the Bowen property are very strong; certain quantities are uniformly bounded in *n* 
  - Specification: the required gap to interpolate between length-*n* orbit segments

- Bowen property: variation of partial sums  $S_n\phi$  over (x, y) which stay close for *n* iterates
- **Question:** Could one make (weaker) non-uniform versions of these properties? Might slow unbounded growth still be enough for uniqueness of equilibrium state?

- Both specification and the Bowen property are very strong; certain quantities are uniformly bounded in *n* 
  - Specification: the required gap to interpolate between length-*n* orbit segments

- Bowen property: variation of partial sums  $S_n\phi$  over (x, y) which stay close for *n* iterates
- **Question:** Could one make (weaker) non-uniform versions of these properties? Might slow unbounded growth still be enough for uniqueness of equilibrium state?
- Answer to both: yes!

- Both specification and the Bowen property are very strong; certain quantities are uniformly bounded in *n* 
  - Specification: the required gap to interpolate between length-*n* orbit segments

- Bowen property: variation of partial sums  $S_n\phi$  over (x, y) which stay close for *n* iterates
- **Question:** Could one make (weaker) non-uniform versions of these properties? Might slow unbounded growth still be enough for uniqueness of equilibrium state?
- Answer to both: yes!
- Let's start with mixing

• f always refers to nondecreasing  $f:\mathbb{N}\to\mathbb{N}$ 

- f always refers to nondecreasing  $f:\mathbb{N}\to\mathbb{N}$
- (X, T) satisfies non-uniform specification with gap function f if for every k, n, every  $x_1, \ldots, x_k \in X$ , and every  $m_1, \ldots, m_{k-1} \ge f(n)$ , there is a point  $z \in X$  whose orbit stays  $\delta$ -close to the orbit of  $x_1$  for n iterates, then is uncontrolled for  $m_1$  iterates, then stays  $\delta$ -close to the orbit of  $x_2$  for n iterates, ...

- f always refers to nondecreasing  $f:\mathbb{N}\to\mathbb{N}$
- (X, T) satisfies non-uniform specification with gap function f if for every k, n, every  $x_1, \ldots, x_k \in X$ , and every  $m_1, \ldots, m_{k-1} \ge f(n)$ , there is a point  $z \in X$  whose orbit stays  $\delta$ -close to the orbit of  $x_1$  for n iterates, then is uncontrolled for  $m_1$  iterates, then stays  $\delta$ -close to the orbit of  $x_2$  for n iterates, ...
- Informal: can combine any number of orbit segments for all gap lengths which are "large enough" in comparison to orbit segment lengths

- f always refers to nondecreasing  $f:\mathbb{N}\to\mathbb{N}$
- (X, T) satisfies non-uniform specification with gap function f if for every k, n, every  $x_1, \ldots, x_k \in X$ , and every  $m_1, \ldots, m_{k-1} \ge f(n)$ , there is a point  $z \in X$  whose orbit stays  $\delta$ -close to the orbit of  $x_1$  for n iterates, then is uncontrolled for  $m_1$  iterates, then stays  $\delta$ -close to the orbit of  $x_2$  for n iterates, ...
- Informal: can combine any number of orbit segments for all gap lengths which are "large enough" in comparison to orbit segment lengths

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

• Originally defined by Brian Marcus

- f always refers to nondecreasing  $f:\mathbb{N}\to\mathbb{N}$
- (X, T) satisfies non-uniform specification with gap function f if for every k, n, every  $x_1, \ldots, x_k \in X$ , and every  $m_1, \ldots, m_{k-1} \ge f(n)$ , there is a point  $z \in X$  whose orbit stays  $\delta$ -close to the orbit of  $x_1$  for n iterates, then is uncontrolled for  $m_1$  iterates, then stays  $\delta$ -close to the orbit of  $x_2$  for n iterates, ...
- Informal: can combine any number of orbit segments for all gap lengths which are "large enough" in comparison to orbit segment lengths

- Originally defined by Brian Marcus
- If f is constant, this is just specification

• g always refers to nondecreasing  $g:\mathbb{N}\to\mathbb{N}$ 

- $\bullet~g$  always refers to nondecreasing  $g:\mathbb{N}\to\mathbb{N}$
- $\phi$  is Bowen if  $\exists C$  so that for every *n* and every pair *x*, *y* with  $d(T^ix, T^iy) < \delta$  for  $0 \le i < n$ ,

- g always refers to nondecreasing  $g:\mathbb{N}\to\mathbb{N}$
- $\phi$  is Bowen if  $\exists C$  so that for every *n* and every pair *x*, *y* with  $d(T^ix, T^iy) < \delta$  for  $0 \le i < n$ ,

$$|S_n\phi(x)-S_n\phi(y)|=\left|\sum_{i=0}^{n-1}\phi(T^ix)-\sum_{i=0}^{n-1}\phi(T^iy)\right|< C.$$

- $\bullet~g$  always refers to nondecreasing  $g:\mathbb{N}\to\mathbb{N}$
- $\phi$  is Bowen if  $\exists C$  so that for every *n* and every pair *x*, *y* with  $d(T^ix, T^iy) < \delta$  for  $0 \le i < n$ ,

$$|S_n\phi(x)-S_n\phi(y)|=\left|\sum_{i=0}^{n-1}\phi(T^ix)-\sum_{i=0}^{n-1}\phi(T^iy)\right|< C.$$

 φ has partial sum variation bounds g(n) if for every n and every pair x, y with d(T<sup>i</sup>x, T<sup>i</sup>y) < δ for 0 ≤ i < n,</li>

- g always refers to nondecreasing  $g:\mathbb{N}\to\mathbb{N}$
- $\phi$  is Bowen if  $\exists C$  so that for every *n* and every pair *x*, *y* with  $d(T^ix, T^iy) < \delta$  for  $0 \le i < n$ ,

$$|S_n\phi(x)-S_n\phi(y)|=\left|\sum_{i=0}^{n-1}\phi(T^ix)-\sum_{i=0}^{n-1}\phi(T^iy)\right|< C.$$

 φ has partial sum variation bounds g(n) if for every n and every pair x, y with d(T<sup>i</sup>x, T<sup>i</sup>y) < δ for 0 ≤ i < n,</li>

$$|S_n\phi(x) - S_n\phi(y)| = \left|\sum_{i=0}^{n-1}\phi(T^ix) - \sum_{i=0}^{n-1}\phi(T^iy)\right| < g(n).$$

- g always refers to nondecreasing  $g:\mathbb{N}\to\mathbb{N}$
- φ is Bowen if ∃C so that for every n and every pair x, y with d(T<sup>i</sup>x, T<sup>i</sup>y) < δ for 0 ≤ i < n,</li>

$$|S_n\phi(x)-S_n\phi(y)|=\left|\sum_{i=0}^{n-1}\phi(T^ix)-\sum_{i=0}^{n-1}\phi(T^iy)\right|< C.$$

•  $\phi$  has partial sum variation bounds g(n) if for every n and every pair x, y with  $d(T^i x, T^i y) < \delta$  for  $0 \le i < n$ ,

$$|S_n\phi(x) - S_n\phi(y)| = \left|\sum_{i=0}^{n-1}\phi(T^ix) - \sum_{i=0}^{n-1}\phi(T^iy)\right| < g(n).$$

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

• If g is constant, this is just the Bowen property

• Equivalent formulation of Bowen's theorem:

- Equivalent formulation of Bowen's theorem:
- If (X, T) is expansive and has non-uniform specification with bounded gap function f(n), and if φ has bounded partial sum variation bounds g(n), then (X, T, φ) has a unique fully supported equilibrium state.

- Equivalent formulation of Bowen's theorem:
- If (X, T) is expansive and has non-uniform specification with bounded gap function f(n), and if φ has bounded partial sum variation bounds g(n), then (X, T, φ) has a unique fully supported equilibrium state.
- Theorem: (P.) If (X, T) is expansive and has non-uniform specification with gap function f(n), φ has partial sum variation bounds g(n), and liminf f(n) + g(n) / log n = 0, then (X, T, φ) has a unique fully supported equilibrium state.

- Equivalent formulation of Bowen's theorem:
- If (X, T) is expansive and has non-uniform specification with bounded gap function f(n), and if φ has bounded partial sum variation bounds g(n), then (X, T, φ) has a unique fully supported equilibrium state.
- Theorem: (P.) If (X, T) is expansive and has non-uniform specification with gap function f(n), φ has partial sum variation bounds g(n), and liminf f(n) + g(n) / log n = 0, then (X, T, φ) has a unique fully supported equilibrium state.
- Informally: both gap function for (X, T) and partial sum growth rate for φ need not be bounded, just sublogarithmic

#### • Example: The bounded density shifts of Stanley

- Example: The bounded density shifts of Stanley
- For a nondecreasing subadditive h : N → N, X<sub>h</sub> is the set of all biinfinite sequences on {0, 1} where ∀n, every n-letter subword has ≤ h(n) 1 symbols (T is the shift)

- Example: The bounded density shifts of Stanley
- For a nondecreasing subadditive h : N → N, X<sub>h</sub> is the set of all biinfinite sequences on {0,1} where ∀n, every n-letter subword has ≤ h(n) 1 symbols (T is the shift)

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

• If h(n) = n, then  $X_h$  is full shift

- Example: The bounded density shifts of Stanley
- For a nondecreasing subadditive h : N → N, X<sub>h</sub> is the set of all biinfinite sequences on {0,1} where ∀n, every n-letter subword has ≤ h(n) 1 symbols (T is the shift)
  - If h(n) = n, then  $X_h$  is full shift
  - If  $h(n) = \lceil n/2 \rceil$ , then  $X_h$  is golden mean shift (no adjacent 1s)

- Example: The bounded density shifts of Stanley
- For a nondecreasing subadditive h : N → N, X<sub>h</sub> is the set of all biinfinite sequences on {0,1} where ∀n, every n-letter subword has ≤ h(n) 1 symbols (T is the shift)
  - If h(n) = n, then  $X_h$  is full shift
  - If  $h(n) = \lceil n/2 \rceil$ , then  $X_h$  is golden mean shift (no adjacent 1s)

◆□▶ ◆□▶ ◆注▶ ◆注▶ 注 のへで

• If h(n) = 0, then  $X_h = \{\dots 000 \dots\}$ 

- Example: The bounded density shifts of Stanley
- For a nondecreasing subadditive h : N → N, X<sub>h</sub> is the set of all biinfinite sequences on {0,1} where ∀n, every n-letter subword has ≤ h(n) 1 symbols (T is the shift)
  - If h(n) = n, then  $X_h$  is full shift
  - If  $h(n) = \lceil n/2 \rceil$ , then  $X_h$  is golden mean shift (no adjacent 1s)
  - If h(n) = 0, then  $X_h = \{\dots 000 \dots\}$
  - h(n)/n approaches limit α by subadditivity (and h(n) ≥ nα); restrict to α > 0 case for nontriviality

- Example: The bounded density shifts of Stanley
- For a nondecreasing subadditive h : N → N, X<sub>h</sub> is the set of all biinfinite sequences on {0,1} where ∀n, every n-letter subword has ≤ h(n) 1 symbols (T is the shift)
  - If h(n) = n, then  $X_h$  is full shift
  - If  $h(n) = \lceil n/2 \rceil$ , then  $X_h$  is golden mean shift (no adjacent 1s)
  - If h(n) = 0, then  $X_h = \{\dots 000 \dots\}$
  - h(n)/n approaches limit α by subadditivity (and h(n) ≥ nα); restrict to α > 0 case for nontriviality
- If h(n) = nα + o(log n), then X<sub>h</sub> has non-uniform specification with gap function f(n) = o(log n) (and does not have specification if h(n) nα unbounded)

• Recall  $V_n = V_n(X, T, \phi) = \sup\{|\phi(x) - \phi(y)|\}$  over pairs (x, y) where  $d(T^i x, T^i y) < \delta$  for all  $|i| \le n$ .

• Recall  $V_n = V_n(X, T, \phi) = \sup\{|\phi(x) - \phi(y)|\}$  over pairs (x, y) where  $d(T^i x, T^i y) < \delta$  for all  $|i| \le n$ .

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへで

•  $\phi$  has partial sum variation bounds  $g(n) := 2 \sum_{i=1}^{\lceil n/2 \rceil} V_i$ 

• Recall  $V_n = V_n(X, T, \phi) = \sup\{|\phi(x) - \phi(y)|\}$  over pairs (x, y) where  $d(T^i x, T^i y) < \delta$  for all  $|i| \le n$ .

•  $\phi$  has partial sum variation bounds  $g(n) := 2 \sum_{i=1}^{\lceil n/2 \rceil} V_i$ 

• If  $\phi$  has "barely unsummable"  $V_n$ ,  $\phi$  has slowly growing partial sum variation bounds
# Applications

• Recall  $V_n = V_n(X, T, \phi) = \sup\{|\phi(x) - \phi(y)|\}$  over pairs (x, y) where  $d(T^i x, T^i y) < \delta$  for all  $|i| \le n$ .

•  $\phi$  has partial sum variation bounds  $g(n) := 2 \sum_{i=1}^{\lceil n/2 \rceil} V_i$ 

- If  $\phi$  has "barely unsummable"  $V_n$ ,  $\phi$  has slowly growing partial sum variation bounds
- Example: Take (X, T) the full shift on {0,1}, any q : N → R with q ≥ 0, define φ<sub>q</sub>(x) = q(n), where n is the length of the longest constant block containing x(0)

◆□▶ ◆□▶ ◆注▶ ◆注▶ 注 のへで

• Recall  $V_n = V_n(X, T, \phi) = \sup\{|\phi(x) - \phi(y)|\}$  over pairs (x, y) where  $d(T^i x, T^i y) < \delta$  for all  $|i| \le n$ .

•  $\phi$  has partial sum variation bounds  $g(n) := 2 \sum_{i=1}^{\lfloor n/2 \rfloor} V_i$ 

- If  $\phi$  has "barely unsummable"  $V_n$ ,  $\phi$  has slowly growing partial sum variation bounds
- Example: Take (X, T) the full shift on {0,1}, any q : N → R with q ≥ 0, define φ<sub>q</sub>(x) = q(n), where n is the length of the longest constant block containing x(0)
- If  $q = o(\log n/n)$ , then  $\phi_q$  has partial sum variation bounds  $g(n) = o(\log n)$

◆□▶ ◆□▶ ◆注▶ ◆注▶ 注 のへで

• 
$$\liminf \frac{f(n) + g(n)}{\log n} = 0$$
 enough for uniqueness

• 
$$\liminf \frac{f(n) + g(n)}{\log n} = 0$$
 enough for uniqueness

• This is close to optimal... let's look at f = 0 and g = 0 cases

• 
$$\liminf \frac{f(n) + g(n)}{\log n} = 0$$
 enough for uniqueness

• This is close to optimal... let's look at f = 0 and g = 0 cases

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 の�?

• When f = 0, (X, T) must be a full shift

•  $\liminf \frac{f(n) + g(n)}{\log n} = 0$  enough for uniqueness

- This is close to optimal... let's look at f = 0 and g = 0 cases
  When f = 0, (X, T) must be a full shift
- Theorem: (Hofbauer, 1977) For any  $\epsilon > 0$ , there is a potential  $\phi$  on a full shift with partial sum variation bounds  $g(n) = (1 + \epsilon) \log n$  and multiple equilibrium states.

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

•  $\liminf \frac{f(n) + g(n)}{\log n} = 0$  enough for uniqueness

- This is close to optimal... let's look at f = 0 and g = 0 cases
  When f = 0, (X, T) must be a full shift
- Theorem: (Hofbauer, 1977) For any  $\epsilon > 0$ , there is a potential  $\phi$  on a full shift with partial sum variation bounds  $g(n) = (1 + \epsilon) \log n$  and multiple equilibrium states.
  - When g = 0,  $\phi$  constant and equilibrium states are measures of maximal entropy

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

•  $\liminf \frac{f(n) + g(n)}{\log n} = 0$  enough for uniqueness

- This is close to optimal... let's look at f = 0 and g = 0 cases
  When f = 0, (X, T) must be a full shift
- Theorem: (Hofbauer, 1977) For any ε > 0, there is a potential φ on a full shift with partial sum variation bounds g(n) = (1 + ε) log n and multiple equilibrium states.
  - When g = 0,  $\phi$  constant and equilibrium states are measures of maximal entropy
- Theorem: (Kwietniak-Oprocha-Rams, 2016; P., 2016) For any  $\epsilon > 0$ , there exists a subshift X with non-uniform specification with gap function  $f(n) = \epsilon \log n$  and multiple measures of maximal entropy.

•  $\liminf \frac{f(n) + g(n)}{\log n} = 0$  enough for uniqueness

- This is close to optimal... let's look at f = 0 and g = 0 cases
  When f = 0, (X, T) must be a full shift
- Theorem: (Hofbauer, 1977) For any ε > 0, there is a potential φ on a full shift with partial sum variation bounds g(n) = (1 + ε) log n and multiple equilibrium states.
  - When g = 0,  $\phi$  constant and equilibrium states are measures of maximal entropy
- Theorem: (Kwietniak-Oprocha-Rams, 2016; P., 2016) For any  $\epsilon > 0$ , there exists a subshift X with non-uniform specification with gap function  $f(n) = \epsilon \log n$  and multiple measures of maximal entropy.
  - **Conclusion:**  $O(\log n)$  is the correct "tipping point" for f, g

• Bowen's approach was to create MME  $\mu$  from limit of equidistributed periodic points and then prove  $\mu$  has partial mixing, i.e.  $\liminf_{n} \frac{\mu(A \cap T^{n}B)}{\mu(A)\mu(B)} > 0$ 

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

- Bowen's approach was to create MME  $\mu$  from limit of equidistributed periodic points and then prove  $\mu$  has partial mixing, i.e.  $\liminf_{n} \frac{\mu(A \cap T^{n}B)}{\mu(A)\mu(B)} > 0$
- Then he showed "not enough room" for another ergodic MME

<ロト <四ト <注入 <注下 <注下 <

- Bowen's approach was to create MME μ from limit of equidistributed periodic points and then prove μ has partial mixing, i.e. lim inf μ(A ∩ T<sup>n</sup>B)/μ(A)μ(B) > 0
- Then he showed "not enough room" for another ergodic MME
  - Lower bound comes from constants in definitions of specification, Bowen potential

- Bowen's approach was to create MME μ from limit of equidistributed periodic points and then prove μ has partial mixing, i.e. lim inf μ(A ∩ T<sup>n</sup>B)/μ(A)μ(B) > 0
- Then he showed "not enough room" for another ergodic MME
  - Lower bound comes from constants in definitions of specification, Bowen potential

<ロト <四ト <注入 <注下 <注下 <

• Since f(n) may be unbounded, won't work for us

 Let's say for now (X, T) is a subshift with non-uniform specification for gap function f = o(log n) and φ = 0

 Let's say for now (X, T) is a subshift with non-uniform specification for gap function f = o(log n) and φ = 0

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへで

• Since  $\phi = 0$ , pressure  $P(X, T, \phi)$  is just h(X)

- Let's say for now (X, T) is a subshift with non-uniform specification for gap function f = o(log n) and φ = 0
- Since  $\phi = 0$ , pressure  $P(X, T, \phi)$  is just h(X)
- Want to prove measure of maximal entropy is unique

- Let's say for now (X, T) is a subshift with non-uniform specification for gap function f = o(log n) and φ = 0
- Since  $\phi = 0$ , pressure  $P(X, T, \phi)$  is just h(X)
- Want to prove measure of maximal entropy is unique  $\log |L_n(X)| = \log |L_n(X)|$

• Since 
$$(X, T)$$
 subshift,  $h(X) = \lim_{n \to \infty} \frac{\log |L_n(X)|}{n}$ 

- Let's say for now (X, T) is a subshift with non-uniform specification for gap function f = o(log n) and φ = 0
- Since  $\phi = 0$ , pressure  $P(X, T, \phi)$  is just h(X)
- Want to prove measure of maximal entropy is unique • Since (X, T) subshift,  $h(X) = \lim_{n \to \infty} \frac{\log |L_n(X)|}{n}$ 
  - $|L_n(X)|$  is number of *n*-letter words in points of X

- Let's say for now (X, T) is a subshift with non-uniform specification for gap function f = o(log n) and φ = 0
- Since  $\phi = 0$ , pressure  $P(X, T, \phi)$  is just h(X)
- Want to prove measure of maximal entropy is unique
- Since (X, T) subshift,  $h(X) = \lim_{n \to \infty} \frac{\log |L_n(X)|}{n}$ 
  - $|L_n(X)|$  is number of *n*-letter words in points of X

• By subadditivity,  $|L_n(X)| \ge e^{nh(X)}$ 

#### • Step 1: Control on $|L_n(X)|$

◆□▶ ◆舂▶ ★≧▶ ★≧▶ ― ≧ … のへで

• Step 1: Control on  $|L_n(X)|$ 

• Use non-uniform specification on any k-tuple in  $L_n(X)$ :



<ロト <四ト <注入 <注下 <注下 <

• Step 1: Control on  $|L_n(X)|$ 

• Use non-uniform specification on any k-tuple in  $L_n(X)$ :



(日) (四) (문) (문) (문)

•  $|L_{k(n+f(n))}(X)| \ge |L_n(X)|^k$ 

• Step 1: Control on  $|L_n(X)|$ 

• Use non-uniform specification on any k-tuple in  $L_n(X)$ :



(日) (四) (문) (문) (문)

• 
$$|L_{k(n+f(n))}(X)| \ge |L_n(X)|^k$$

• 
$$\frac{\log|L_{k(n+f(n))}(X)|}{k(n+f(n))} \geq \frac{k\log|L_n(X)|}{k(n+f(n))}$$

• Step 1: Control on  $|L_n(X)|$ 

• Use non-uniform specification on any k-tuple in  $L_n(X)$ :



(日) (四) (문) (문) (문)

• 
$$|L_{k(n+f(n))}(X)| \ge |L_n(X)|^k$$
  
•  $\frac{\log |L_{k(n+f(n))}(X)|}{k(n+f(n))} \ge \frac{k \log |L_n(X)|}{k(n+f(n))}$ 

• 
$$h(X) \geq \frac{\log |L_n(X)|}{n+f(n)}$$

• Step 1: Control on  $|L_n(X)|$ 

• Use non-uniform specification on any k-tuple in  $L_n(X)$ :



<ロト <四ト <注入 <注下 <注下 <

• 
$$|L_{k(n+f(n))}(X)| \ge |L_n(X)|^k$$
  
•  $\frac{\log |L_{k(n+f(n))}(X)|}{k(n+f(n))} \ge \frac{k \log |L_n(X)|}{k(n+f(n))}$ 

• 
$$h(X) \geq \frac{\log |L_n(X)|}{n+f(n)}$$

• 
$$|L_n(X)| \le e^{h(X)(n+f(n))}$$

• Step 1: Control on  $|L_n(X)|$ 

• Use non-uniform specification on any k-tuple in  $L_n(X)$ :



• 
$$|L_{k(n+f(n))}(X)| \ge |L_n(X)|^k$$

• 
$$\frac{\log|L_{k(n+f(n))}(X)|}{k(n+f(n))} \geq \frac{k\log|L_n(X)|}{k(n+f(n))}$$

• 
$$h(X) \geq \frac{\log |L_n(X)|}{n+f(n)}$$

0

• 
$$|L_n(X)| \le e^{h(X)(n+f(n))} = e^{nh(X)} n^{o(1)}$$
 (since  $f = o(\log n)$ )

• Step 2: Assume for contradiction that  $\mu \neq \nu$  ergodic MMEs

• Step 2: Assume for contradiction that  $\mu \neq \nu$  ergodic MMEs

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 の�?

• Baby case:  $\mu$ ,  $\nu$  disjoint supports Y, Z

• Step 2: Assume for contradiction that  $\mu \neq \nu$  ergodic MMEs

- Baby case:  $\mu$ ,  $\nu$  disjoint supports Y, Z
- Since  $\mu, \nu$  MMEs,  $|L_i(Y)|, |L_i(Z)| \ge e^{ih(X)} \forall i$

• Step 2: Assume for contradiction that  $\mu \neq \nu$  ergodic MMEs

- Baby case:  $\mu$ ,  $\nu$  disjoint supports Y, Z
- Since  $\mu, \nu$  MMEs,  $|L_i(Y)|, |L_i(Z)| \ge e^{ih(X)} \ \forall i$
- Since  $Y \cap Z = \emptyset$ ,  $\exists N$  so that  $L_N(Y) \cap L_N(Z) = \emptyset$

- Step 2: Assume for contradiction that  $\mu \neq \nu$  ergodic MMEs
- Baby case:  $\mu$ ,  $\nu$  disjoint supports Y, Z
- Since  $\mu, \nu$  MMEs,  $|L_i(Y)|, |L_i(Z)| \ge e^{ih(X)} \ \forall i$
- Since  $Y \cap Z = \emptyset$ ,  $\exists N$  so that  $L_N(Y) \cap L_N(Z) = \emptyset$



(日) (四) (문) (문) (문)

- Step 2: Assume for contradiction that  $\mu \neq \nu$  ergodic MMEs
- Baby case:  $\mu$ ,  $\nu$  disjoint supports Y, Z
- Since  $\mu, \nu$  MMEs,  $|L_i(Y)|, |L_i(Z)| \ge e^{ih(X)} \ \forall i$
- Since  $Y \cap Z = \emptyset$ ,  $\exists N$  so that  $L_N(Y) \cap L_N(Z) = \emptyset$



• Fixed  $j: |L_j(Y)||L_{n-f(n)-j}(Z)| \ge e^{h(X)(n-f(n))}$  words in  $L_n(X)$ 

(日) (四) (문) (문) (문)

- Step 2: Assume for contradiction that  $\mu \neq \nu$  ergodic MMEs
- Baby case:  $\mu$ ,  $\nu$  disjoint supports Y, Z
- Since  $\mu, \nu$  MMEs,  $|L_i(Y)|, |L_i(Z)| \ge e^{ih(X)} \forall i$
- Since  $Y \cap Z = \emptyset$ ,  $\exists N$  so that  $L_N(Y) \cap L_N(Z) = \emptyset$



• Fixed  $j: |L_j(Y)||L_{n-f(n)-j}(Z)| \ge e^{h(X)(n-f(n))}$  words in  $L_n(X)$ 

(日) (四) (코) (코) (코) (코)

- Step 2: Assume for contradiction that  $\mu \neq \nu$  ergodic MMEs
- Baby case:  $\mu$ ,  $\nu$  disjoint supports Y, Z
- Since  $\mu, \nu$  MMEs,  $|L_i(Y)|, |L_i(Z)| \ge e^{ih(X)} \forall i$
- Since  $Y \cap Z = \emptyset$ ,  $\exists N$  so that  $L_N(Y) \cap L_N(Z) = \emptyset$



• Fixed  $j: |L_j(Y)||L_{n-f(n)-j}(Z)| \ge e^{h(X)(n-f(n))}$  words in  $L_n(X)$ 

<ロト <四ト <注入 <注下 <注下 <

- Step 2: Assume for contradiction that  $\mu \neq \nu$  ergodic MMEs
- Baby case:  $\mu$ ,  $\nu$  disjoint supports Y, Z
- Since  $\mu, \nu$  MMEs,  $|L_i(Y)|, |L_i(Z)| \ge e^{ih(X)} \forall i$
- Since  $Y \cap Z = \emptyset$ ,  $\exists N$  so that  $L_N(Y) \cap L_N(Z) = \emptyset$



Fixed j: |L<sub>j</sub>(Y)||L<sub>n-f(n)-j</sub>(Z)| ≥ e<sup>h(X)(n-f(n))</sup> words in L<sub>n</sub>(X)
Sets for j ≠ j' disjoint as long as |j - j'| > N + f(n)

- Step 2: Assume for contradiction that  $\mu \neq \nu$  ergodic MMEs
- Baby case:  $\mu$ ,  $\nu$  disjoint supports Y, Z
- Since  $\mu, \nu$  MMEs,  $|L_i(Y)|, |L_i(Z)| \ge e^{ih(X)} \forall i$
- Since  $Y \cap Z = \emptyset$ ,  $\exists N$  so that  $L_N(Y) \cap L_N(Z) = \emptyset$



- Fixed  $j: |L_j(Y)||L_{n-f(n)-j}(Z)| \ge e^{h(X)(n-f(n))}$  words in  $L_n(X)$
- Sets for  $j \neq j'$  disjoint as long as |j j'| > N + f(n)
- Then  $|L_n(X)| \gtrsim \frac{n}{N+f(n)} e^{(n-f(n))h(X)} = e^{nh(X)} n^{1-o(1)}$ , contradicting Step 1
• Grown-up case:  $\mu \neq \nu$ , but supports may overlap



- Grown-up case:  $\mu \neq \nu$ , but supports may overlap
- $\mu \perp \nu$ , so can find clopen set S where  $\mu(S), \nu(S^c) < \epsilon$

◆□▶ ◆□▶ ◆注▶ ◆注▶ 注 のへで

- Grown-up case:  $\mu \neq \nu$ , but supports may overlap
- $\mu \perp \nu$ , so can find clopen set *S* where  $\mu(S), \nu(S^c) < \epsilon$
- But... no reason sets for  $j \neq j'$  should be disjoint anymore

◆□▶ ◆□▶ ◆注▶ ◆注▶ 注 のへで

- Step 2: Assume for contradiction that  $\mu \neq \nu$  ergodic MMEs
  - Grown-up case:  $\mu \neq \nu$ , but supports may overlap
  - $\mu \perp \nu$ , so can find clopen set *S* where  $\mu(S), \nu(S^c) < \epsilon$
  - But... no reason sets for  $j \neq j'$  should be disjoint anymore
  - $\bullet\,$  Could use ergodic theorem to choose "typical" words for  $\mu,\,\nu$

(日) (四) (문) (문) (문)

- Step 2: Assume for contradiction that  $\mu \neq \nu$  ergodic MMEs
  - Grown-up case:  $\mu \neq \nu$ , but supports may overlap
  - $\mu \perp \nu$ , so can find clopen set *S* where  $\mu(S), \nu(S^c) < \epsilon$
  - But... no reason sets for  $j \neq j'$  should be disjoint anymore
  - $\bullet\,$  Could use ergodic theorem to choose "typical" words for  $\mu,\,\nu$

• But they could still overlap at small distances

- Step 2: Assume for contradiction that  $\mu \neq \nu$  ergodic MMEs
  - Grown-up case:  $\mu \neq \nu$ , but supports may overlap
  - $\mu \perp \nu$ , so can find clopen set *S* where  $\mu(S), \nu(S^c) < \epsilon$
  - But... no reason sets for  $j \neq j'$  should be disjoint anymore
  - $\bullet\,$  Could use ergodic theorem to choose "typical" words for  $\mu,\,\nu$

◆□▶ ◆□▶ ◆注▶ ◆注▶ 注 のへで

- But they could still overlap at small distances
- Main new idea: use maximal ergodic theorem!

• Maximal ergodic theorem tells us that

$$\mu\left(\left\{x : \forall n, \frac{1}{n} \sum_{i=0}^{n-1} \chi_{S}(T^{i}x) \leq 2\mu(S)\right\}\right) \geq \frac{1}{2}.$$

• Maximal ergodic theorem tells us that

$$\mu\left(\left\{x : \forall n, \frac{1}{n}\sum_{i=0}^{n-1}\chi_{\mathcal{S}}(\mathcal{T}^{i}x) \leq 2\mu(\mathcal{S})\right\}\right) \geq \frac{1}{2}.$$

If |L<sub>n</sub>(X)| "not much bigger" than e<sup>nh(X)</sup>, then subset of L<sub>n</sub>(X) with large measure for an MME "not too small"

• Maximal ergodic theorem tells us that

$$\mu\left(\left\{x : \forall n, \frac{1}{n}\sum_{i=0}^{n-1}\chi_{\mathcal{S}}(\mathcal{T}^{i}x) \leq 2\mu(\mathcal{S})\right\}\right) \geq \frac{1}{2}.$$

- If  $|L_n(X)|$  "not much bigger" than  $e^{nh(X)}$ , then subset of  $L_n(X)$  with large measure for an MME "not too small"
- So, get "large"  $W_n \subset L_n(X)$  of words where every prefix has proportion  $\leq 2\mu(S) < 2\epsilon$  of visits to S

◆□▶ ◆□▶ ◆目▶ ◆目▶ 目 のへで

• Maximal ergodic theorem tells us that

$$\mu\left(\left\{x : \forall n, \frac{1}{n}\sum_{i=0}^{n-1}\chi_{\mathcal{S}}(\mathcal{T}^{i}x) \leq 2\mu(\mathcal{S})\right\}\right) \geq \frac{1}{2}.$$

- If  $|L_n(X)|$  "not much bigger" than  $e^{nh(X)}$ , then subset of  $L_n(X)$  with large measure for an MME "not too small"
- So, get "large"  $W_n \subset L_n(X)$  of words where every prefix has proportion  $\leq 2\mu(S) < 2\epsilon$  of visits to S

《曰》 《聞》 《理》 《理》 三世

• 
$$|W_n| \ge e^{nh(X)}n^{-o(1)}$$

Maximal ergodic theorem tells us that

$$\mu\left(\left\{x : \forall n, \frac{1}{n}\sum_{i=0}^{n-1}\chi_{\mathcal{S}}(\mathcal{T}^{i}x) \leq 2\mu(\mathcal{S})\right\}\right) \geq \frac{1}{2}.$$

- If |L<sub>n</sub>(X)| "not much bigger" than e<sup>nh(X)</sup>, then subset of L<sub>n</sub>(X) with large measure for an MME "not too small"
- So, get "large" W<sub>n</sub> ⊂ L<sub>n</sub>(X) of words where every prefix has proportion ≤ 2µ(S) < 2ε of visits to S</li>
  |W<sub>n</sub>| ≥ e<sup>nh(X)</sup>n<sup>-o(1)</sup>
- Using  $\nu$ ,  $T^{-1}$ , can get "large"  $V_n \subset L_n(X)$  of words where suffixes have proportion  $\leq 2\nu(S^c) < 2\epsilon$  of visits to  $S^c$

◆□▶ ◆□▶ ◆目▶ ◆目▶ 目 のへで

Maximal ergodic theorem tells us that

$$\mu\left(\left\{x : \forall n, \frac{1}{n}\sum_{i=0}^{n-1}\chi_{\mathcal{S}}(\mathcal{T}^{i}x) \leq 2\mu(\mathcal{S})\right\}\right) \geq \frac{1}{2}.$$

- If |L<sub>n</sub>(X)| "not much bigger" than e<sup>nh(X)</sup>, then subset of L<sub>n</sub>(X) with large measure for an MME "not too small"
- So, get "large"  $W_n \subset L_n(X)$  of words where every prefix has proportion  $\leq 2\mu(S) < 2\epsilon$  of visits to S

• 
$$|W_n| \ge e^{nh(X)} n^{-o(1)}$$

 Using ν, T<sup>-1</sup>, can get "large" V<sub>n</sub> ⊂ L<sub>n</sub>(X) of words where suffixes have proportion ≤ 2ν(S<sup>c</sup>) < 2ε of visits to S<sup>c</sup>

• 
$$|V_n| \ge e^{nh(X)}n^{-o(1)}$$

•  $W_n \subset L_n(X)$ : prefixes visit S w/proportion  $\leq 2\mu(S) < 2\epsilon$ 

- $W_n \subset L_n(X)$ : prefixes visit S w/proportion  $\leq 2\mu(S) < 2\epsilon$
- $V_n \subset L_n(X)$ : suffixes visit  $S^c$  w/proportion  $\leq 2\mu(S) < 2\epsilon$

- $W_n \subset L_n(X)$ : prefixes visit S w/proportion  $\leq 2\mu(S) < 2\epsilon$
- $V_n \subset L_n(X)$ : suffixes visit  $S^c$  w/proportion  $\leq 2\mu(S) < 2\epsilon$



<ロト <四ト <注入 <注下 <注下 <

- $W_n \subset L_n(X)$ : prefixes visit S w/proportion  $\leq 2\mu(S) < 2\epsilon$
- $V_n \subset L_n(X)$ : suffixes visit  $S^c$  w/proportion  $\leq 2\mu(S) < 2\epsilon$

$$\begin{array}{c|c} \in V_j \\ \in V_{j'} \\ \hline f(n) \\ \hline \end{array} \begin{array}{c} f(n) \\ \in W_{n-f(n)-j'} \\ \hline \end{array} \end{array}$$

 Long word can't simultaneously be suffix of word in some V<sub>i</sub> and prefix of word in some W<sub>i</sub><sup>'</sup> if ε small enough

<ロト <四ト <注入 <注下 <注下 <

- $W_n \subset L_n(X)$ : prefixes visit S w/proportion  $\leq 2\mu(S) < 2\epsilon$
- $V_n \subset L_n(X)$ : suffixes visit  $S^c$  w/proportion  $\leq 2\mu(S) < 2\epsilon$

$$\begin{array}{c|c} \hline & \in V_j \\ \hline & \in V_{j'} \\ \hline & f(n) \\ \hline \end{array} \begin{array}{c} f(n) \\ \hline & \in W_{n-f(n)-j'} \\ \hline \end{array} \end{array}$$

 Long word can't simultaneously be suffix of word in some V<sub>i</sub> and prefix of word in some W<sub>i</sub><sup>'</sup> if ε small enough

(日) (四) (문) (문) (문)

• So, again we get disjoint sets for |j - j'| large, we get a contradiction as before, and there is a unique MME  $\mu$ 

- $W_n \subset L_n(X)$ : prefixes visit S w/proportion  $\leq 2\mu(S) < 2\epsilon$
- $V_n \subset L_n(X)$ : suffixes visit  $S^c$  w/proportion  $\leq 2\mu(S) < 2\epsilon$

 Long word can't simultaneously be suffix of word in some V<sub>i</sub> and prefix of word in some W<sub>i</sub><sup>'</sup> if ε small enough

◆□▶ ◆□▶ ◆注▶ ◆注▶ 注 のへで

- So, again we get disjoint sets for |j j'| large, we get a contradiction as before, and there is a unique MME  $\mu$
- General proof (adding  $\phi$ , expansive (X, T), etc.) similar

• Can we weaken expansiveness assumption? Entropy expansive?

- Can we weaken expansiveness assumption? Entropy expansive?
- Properties of μ? Mixing? (UPDATE: An argument of Ledrappier tells us that (X, T, μ) is a K-system!)

◆□▶ ◆□▶ ◆三▶ ◆三▶ ○○ のへで

- Can we weaken expansiveness assumption? Entropy expansive?
- Properties of μ? Mixing? (UPDATE: An argument of Ledrappier tells us that (X, T, μ) is a K-system!)
- Does non-uniform specification ever imply existence of periodic points?

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

- Can we weaken expansiveness assumption? Entropy expansive?
- Properties of μ? Mixing? (UPDATE: An argument of Ledrappier tells us that (X, T, μ) is a K-system!)
- Does non-uniform specification ever imply existence of periodic points?
- Can " $o(\log n)$ " replace "bounded" in other proofs using mixing and/or regularity hypotheses?

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

# Thanks for listening!